

MARY ANN SMITH
Deputy Commissioner
SEAN ROONEY
Assistant Chief Counsel
JOHNNY VUONG (State Bar No. 249570)
Senior Counsel
Department of Business Oversight
320 W. 4th Street, Suite 750
Los Angeles, California 90013
Telephone: (213) 576-7585
Facsimile: (213) 576-7181
Attorneys for Complainant

BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
OF THE STATE OF CALIFORNIA

| | | |
|------------------------------|---|--|
| In the Matter of: |) | CFL LICENSE NO. 603-H755 |
| |) | |
| BREAKWATER STRUCTURED GROWTH |) | ORDER (1) GRANTING PETITION FOR |
| OPPORTUNITIES FUND, L.P. |) | REINSTATEMENT AND (2) REINSTATING |
| |) | CALIFORNIA FINANCE LENDERS LICENSE |
| |) | (California Government Code Section 11522) |
| |) | |
| |) | |
| |) | |
| |) | |
| |) | |

TO: Chief Financial Officer
Harry Chung
Breakwater Structured Growth Opportunities Fund, L.P.
1999 Avenue of the Stars, Suite # 3430
Los Angeles, CA 90067

KAMALA D. HARRIS
ATTORNEY GENERAL, STATE OF CALIFORNIA
Department of Justice
P.O. Box 944255
Sacramento, California 94244-2550

ORDER (1) GRANTING PETITION FOR REINSTATEMENT AND (2) REINSTATING
CALIFORNIA FINANCE LENDERS LICENSE

1 Petitioner, Breakwater Structured Growth Opportunities Fund, L.P, having filed a petition on
2 April 26, 2016, requesting reinstatement of Petitioner's California Finance Lenders Law License
3 pursuant to Government Code section 11522; further written arguments having been timely filed with
4 the agency by Petitioner; the petition and written arguments having been considered; and, the
5 requirements for reinstatement having been met, this agency finds that:

- 6 1. Petitioner has complied with all the conditions for licensure ordered by the Commissioner and
7 set forth in the California Finance Lenders Law; and,
- 8 2. The agency is not aware of any information that would otherwise disqualify Petitioner from
9 reinstatement of its California Finance Lenders license pursuant to the Government Code
10 section 11522.

11 THEREFORE, GOOD CAUSE APPEARING, the California Finance Lenders Law license of
12 Breakwater Structured Growth Opportunities Fund, L.P, license no. 603-H755, is hereby reinstated
13 effective as of the date of this order.

14
15 Dated: November 14, 2016
16 Los Angeles, California

JAN LYNN OWEN
Commissioner of Business Oversight

17 By _____
18 Mary Ann Smith
19 Deputy Commissioner
20 Enforcement Division
21
22
23
24
25
26
27
28